

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/91SERIAL NO.
09/314,324SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William Joseph Armstrong et al.Confirmation No.
5010

(37 CFR 1.98(b))

(Use several sheets if necessary)

FILING DATE
May 19, 1999GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>D</i>	A.A	4	6	0	1	0	0	8	7/15/1986	Kato	364 710	900 260	6/30/1983
<i>D</i>	A.B	5	2	9	7	2	8	7	3/22/1994	Miyayama et al.	385 713	700 1	3/2/1992
<i>D</i>	A.C	5	4	6	5	3	6	0	11/7/1995	Miller et al.	385 713	700 1	11/12/1993
<i>D</i>	A.D	5	7	8	4	6	2	5	7/21/1998	Walker	385 710	735 260	3/19/1996
	A.E												
	A.F												
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

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FEB 15 2002

Technology Center 2600

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
<i>D</i>	A.L	WO 95/18998	13.07.95	World	—	—	Yes
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	
	A.S	
	A.T	

EXAMINER

Thomas A. Dyer

DATE CONSIDERED

*4/3/02*EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
RO999-023SERIAL NO.
09/314,324APPLICANT:
William J. Armstrong et al.FILING DATE:
May 19, 1999GROUP:
2161

12

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
<i>D</i>	AA	5	5	5	0	9	7	0		08/27/96	Troy E. Cline et al.	395 345	101 172	
<i>D</i>	AB	5	9	4	8	0	6	5		09/07/99	Catherine Krueger Eilert et al.	709	226	
<i>D</i>	AC	6	2	4	7	1	0	9		06/12/01	Fredrick G. Kleinsorge et al.	712	13	
<i>D</i>	AD	6	2	6	3	3	5	9		07/17/01	Diana Liyow Fong et al.	709	103	
<i>D</i>	AE	6	2	6	9	3	9	1		07/31/01	Bruce K. Gillespie	709	100	
<i>D</i>	AF	6	2	8	2	5	6	0		08/28/01	Catherine Krueger Eilert et al.	709	100	
	AG													
	AH													
	AI													
	AJ													
	AK													

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
														YES	NO
	AL														
	AM														
	AN														
	AO														
	AP														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR														
	AS														
	AT														

EXAMINER

Thomas A. Dyja

DATE CONSIDERED

4/3/02

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FORM PTO-1449 (Modified)

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William J. Armstrong et al.

(Use several sheets if necessary)

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER								DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA	5	9	7	8	8	5	7	11/02/99	Harry L. Graham	709	301 312		
AB	6	0	6	1	6	9	5	05/09/00	Benjamin W. Slivka et al.	707	513		
AC	6	0	7	5	9	3	8	06/13/00	Edouard Bugnion et al.	395 703	500.48 27		
AD	6	2	6	9	4	0	9	07/31/01	Richard L. Solomon	709	329		
AE													
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
						YES NO
AL						
AM						
AN						
AO						
AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Gomes, Lee, "Desktops to get OS freedom of choice," Wall Street Journal Online, March 26, 1999.
AS	VMWare 1.0.x for Linux Changelog Archive (1999).
AT	

EXAMINER

Thomas A. Ditz

DATE CONSIDERED

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